

Form PTO-1449

INFORMATION DISCLOSURE CITATION
IN AN APPLICATION

(Use several sheets if necessary)

Docket Number (Optional)

NGM-00102

Application Number

09/880,393

RECEIVED

SEP 05 2002

Technology Center 2100

Applicant Nagral, et al.

Filing Date June 13, 2001

Group Art Unit 2171

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
MF	A1	4,800,510	01/24/89	Vinberg et al.		07/31/85
	A2	4,843,569	06/27/89	Sawada et al.		09/22/87
	A3	4,852,019	07/25/89	Vinberg et al.		01/31/86
	A4	4,933,880	06/12/90	Borgendale et al.		06/15/88
	A5	4,941,125	07/10/90	Boyne		08/01/84
	A6	5,060,135	10/22/91	Levine et al.		11/01/88
	A7	5,083,262	01/21/92	Haff, Jr.		09/27/90
	A8	5,086,408	02/04/92	Sakata		05/10/88
	A9	5,119,465	06/02/92	Jack et al.		06/19/89
	A10	5,119,492	06/02/92	Shimizu et al.		05/13/88
	A11	5,231,578	07/27/93	Levin et al.		11/13/90
	A12	5,321,831	06/14/94	Hirose		10/30/90
	A13	5,339,409	08/16/94	Sakuragi		12/26/90
	A14	5,353,397	10/04/94	Yokoyama et al.		02/15/90
	A15	5,379,376	01/03/95	Bednowitz		06/04/90

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
					YES	NO
B1	EP 0 961 211 A2	01/12/99	EP			

OTHER DOCUMENTS

(Including author, Title, Date, Pertinent Pages, Etc.)

C1	Adobe Acrobat "Classroom in a Book" (007144-7445)
C2	Design Interactive Documents with Adobe and Acrobat Pro (007455-7897)
C3	Product Information for MetaViewer Print Capture (SSI 009707-009709)
C4	Product Information for Metafile - The Content Database (SSI 009710-009714)
C5	Product Information for Metafile Information Retrieval (SSI 009715-SSI009722)
C6	Product Information for The Metafile Warehouse, available at http://mv.metafile.com/warehouse.shtml . (SSI 009723-009730)
C7	Product Information for MetaViewer ENTERPRISE™ Document Imaging (SSI 009731-009736)
C8	Company Information for Metafile Information Systems, Inc., available at http://mv.metafile.com . (SSI009737)
C9	Article - "Metafile Adds Internet Client Interface", available at http://esi.com/midrange/archives/article.asp?ID=8139824750PM . (August 17, 1998). (SSI 009738)

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE



Form PT 449	Docket Number (Optional) NGM-00102	Application Number 09/880,393
INFORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary)		RECEIVED SEP 05 2002 Technology Center 2100

Applicant Nagral, et al.

Filing Date June 13, 2001

Group Art Unit 2171

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
MF	A16 5,404,435	04/04/95	Rosenbaum			02/14/94
	A17 5,432,894	07/11/95	Funaki			08/21/92
	A18 5,448,692	09/05/95	Ohta			03/27/92
	A19 5,452,369	09/19/95	Lionti et al.			10/25/93
	A20 5,517,605	05/14/96	Wolf			08/11/93
	A21 5,519,818	05/21/96	Peterson			09/19/94
	A22 5,521,722	05/28/96	Colvill et al.			01/31/91
	A23 5,524,202	06/04/96	Yokohama			06/06/95
	A24 5,528,734	06/18/96	Sanchez			05/31/94
	A25 5,546,390	08/13/96	Stone			12/29/94
	A26 5,546,572	08/13/96	Seto et al.			08/25/92
	A27 5,561,796	10/01/96	Sakamoto et al.			03/14/95
	A28 5,572,601	11/05/96	Bloomberg			10/19/94
	A29 5,577,188	11/19/96	Zhu			05/31/94

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
					YES	NO

OTHER DOCUMENTS

(Including author, Title, Date, Pertinent Pages, Etc.)

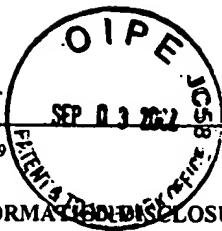
C10	"Turbochrom Results Manager User's Guide", Desktop Data Systems, Perkin Elmer, (May 1994), (SS 002334-002537).
C11	User's Guide, "Microsoft Windows & MS-DOS 6 Operating Systems Plus Enhanced Tools For Sale Only With a New PC", (SSI 002122-002127).
C12	"Important Dates in Microsoft's History", Dave & Sarah Computing, http://davesworld.click2site.com/ms_history.htm , (September 21, 2001), (SSI 002128-002130).
C13	"About Windows 95 Printer Drivers", Chapter 1: Printer Driver Overview, (SSI 002131-002257).
C14	"Introduction to Virtual Devices", Chapter 1: Virtual Devices, (SSI 002258-002333).
C15	"Why settle for data management when you can have Knowledge Engineering?", Scientific Software, Inc., (SSI 002565-2567).
C16	O'Dea, Kathleen; "Software Manages Your Lab's Data", Tech Report Drug Discovery & Development, www.ddmag.com , (June/July 2000) (SSI 002568-2569)
C17	"Software Manages Your Lab's Data", R&D Software for Scientists, www.rdman.com , (June 2000) (SSI 002570-2573).
C18	"Turning Information Into Knowledge... Anytime, Anywhere.", CyberLAB, (SSI 002580-002587).

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Form PTO-1449	Docket Number (Optional) NGM-00102	Application Number 09/880,393
INFORMATION DISCLOSURE CITATION IN AN APPLICATION <i>(Use several sheets if necessary)</i>	RECEIVED SEP 05 2002	

Applicant Nagral, et al.

Technology Center 2100

Filing Date June 13, 2001

Group Art Unit 2111

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
MF	A30 5,579,471	11/26/96	Barber et al.			03/23/94
	A31 5,611,036	03/11/97	Berend et al.			09/23/94
	A32 5,625,833	04/29/97	Levine et al.			03/20/95
	A33 5,630,041	05/13/97	Mills et al.			06/23/95
	A34 5,630,121	05/13/97	Braden-Harder et al.			02/02/93
	A35 5,652,881	07/29/97	Takahashi et al.			11/23/94
	A36 5,659,742	08/19/97	Beattie et al.			09/15/95
	A37 5,664,127	09/02/97	Anderson et al.			02/28/96
	A38 5,664,182	09/2/97	Nierenberg et al.			09/18/95
	A39 5,687,254	11/11/97	Poon et al.			06/06/94
	A40 5,689,287	11/18/97	Mackinlay et al.			01/22/96
	A41 5,699,492	12/16/97	Karaki			10/13/95
	A42 5,737,442	04/07/98	Alam			10/20/95
	A43 5,781,714	07/14/98	Collins et al.			09/12/95

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
					YES	NO

OTHER DOCUMENTS

(Including author, Title, Date, Pertinent Pages, Etc.)

C19	"Data... Anywhere, Anytime", CyberLab, (SSI 002588-002589).
C20	"Information... Anywhere, Anytime", CyberLab, (SSI 002590-002591).
C21	"Why settle for data management...", Scientific Software Inc., (SSI 002592-002593).
C22	"Information... Anywhere, Anytime", CyberLab, (SSI 002574-002579).
C23	"Harness Your Data Monster.", CyberLab Information... Anywhere, Anytime., www.scisw.com, (SSI 002596-002597).
C24	"Introducing... CyberLAB!", CyberLAB, Seminar Agenda, (SSI 002598-002599).
C25	"The WORLD'S FIRST Web-based Knowledge Management System.", CyberLAB, (SSI 002600-002603).
C26	"Intranet-Based Data Manager", Scientific Software, Inc., Press Release, (SSI 002604-002613).
C27	Article - "Internet Alters Imaging Picture", available at http://www.govtech.net/magazine/gv/nov/nov1996-imaging/nov1996-imaging.phtml (November 1996). (SSI 009739-009742)

EXAMINER

DATE CONSIDERED

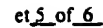
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INFORMATION DISCLOSURE CITATION IN AN APPLICATION <i>(Use several sheets if necessary)</i>				RECEIVED SEP 05 2002 Technology Center 2100		
Applicant		Nagrall, et al.				
Filing Date		June 13, 2001		Group Art Unit 2171		
U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
MF	A44	5,802,361	09/01/98	Wang et al.		09/30/94
	A45	5,857,064	01/05/99	deSilva		10/07/97
	A46	5,893,908	04/13/99	Cullen et al.		11/21/96
	A47	5,899,999	05/04/99	De Bonet		10/16/96
	A48	5,933,823	08/03/99	Cullen et al.		03/01/96
	A49	5,956,422	09/21/99	Alam		02/21/98
	A50	5,970,216	10/19/99	Satoshi Tanio et al.		
	A51	5,978,477	11/02/99	Hull et al.		11/21/96
	A52	5,983,237	11/09/99	Jain et al.		08/21/97
	A53	5,987,456	11/16/99	Ravela et al.		10/28/97
	A54	6,003,069	12/14/99	Cavill		12/16/97
	A55	6,006,226	12/21/99	Cullen et al.		09/24/97
	A56	6,020,970	02/01/00	Erickson et al.		10/27/98
	A57	6,031,623	02/29/00	Smith et al.		12/11/97
	A58	6,038,309	03/14/00	Ram et al.		05/30/97
FOREIGN PATENT DOCUMENTS						
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation YES NO
OTHER DOCUMENTS <i>(Including author, Title, Date, Pertinent Pages, Etc.)</i>						
	C28	Product Information for PrintStore Capture (SSI 009743)				
	C29	Product Data Sheet for MetaViewer Enterprise (V5.0, May 2000) (SSI 009744-009747)				
	C30	Product Data Sheet for MetaViewer Remote Client (May 2000) (SSI 009748-009749)				
	C31	Product Data Sheet for MetaViewer (V8.3, December 2000) (SSI 009750-009753)				
	C32	Article - "Companies Rush to COLD at a Feverish Pitch", available at http://www.transformmag.com/db_area/archs/1996/November/4_1300.htm (November 1996). (SSI 009754-009767)				
	C33	Article - "Image Management Brings Order to the Chaos", available at http://www.transformmag.com/db_area/archs/1998/May/4_1081.htm (May 1998) (SSI 009768-009774)				
MF	C34	Product Information for ArcItUp by BroadGun Software, available at http://broadgun.com/arcit/index.htm (2000) (SSI 009775-009779)				
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Docker Number (Optional)

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Application Number:

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Group Art Unit 2171

U.S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

[illegible]

OTHER DOCUMENTS

(Including author, Title, Date, Pertinent Pages, Etc.)

	C35	Product Information for FinePrint, available at http://www.fineprint.com/software/fineprint/standard/index.html . (SSI 009787-009788)
	C36	Article - "COLD Part II Brawny Systems Add Brains", available at http://www.transformmag.com/db_area/archs/1998/July/COLD_Part_4_1403.htm (July 1998) (SSI 009780-009786)
	C37	Imaging Magazine's Holiday Shopper's Showcase (December 1995) (SSI 009789-009797) [what is this?]
	C38	EKCO Group Information (Press release??) (June 1996) (SSI 009798-009799)
	C39	Article - "Booths to Aim for at AIIM", available at www.transformmag.com (March 1997). (SSI 009800-009806)
	C40	Document - "Make Images Accessible on an Intranet" (November 1997) (SSI 009807-009810) [what is this and where did it come from?]
	C41	Article - "50 Must-see Products at AIMM", available at http://www.transformmag.com/db_area/archs/1998/May/4_1076.htm (May 1998) (SSI 009811-009826)
34	C42	Document - "Imaging and Document Solutions" (June 1999) (SSI 009827-009832)

EXAMINER

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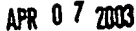
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		Applicant Nagral, et al.			
		Filing Date June 13, 2001		Group Art Unit 2171	
U.S. PATENT DOCUMENTS					
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	FILING DATE IF APPROPRIATE
FOREIGN PATENT DOCUMENTS					
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS
OTHER DOCUMENTS (Including author, Title, Date, Pertinent Pages, Etc.)					
MF	C43	Talk, News, Upgrades & New Products from TAL Technologies, vol. 4, no. 1, Fall 1996.			
	C44	Product Description of Software Wedge of TAL Technologies, 1996.			
	C45	Product Offering from Tools and Techniques, Data Junction for Windows, Cambio for Windows and DJXL 1997.			
	C46	Product Offering from Tools and Techniques, New Data Conversion Solutions from T&T, 1997.			
	C47	Description of Documentum WorkSpace, Robust Functionality for Document Coordinators, mid 1996.			
	C48	Description of Documentum Enterprise Document Management System, A Strategic Infrastructure for Knowledge Chain Solutions, mid 1996.			
	C49	Monarch for Windows 2.0 [on-line]. Monarch Home Page, Datawatch, late 1996. Retrieved from the internet http://www.datawatch.com/monarch.shtml .			
	C50	American Laboratory, "Incorporating Chromatograms into Electronic Documents," Oct. 1996, by Tom Jupille, Kari Hallenburg, and Bob Albrecht, pp. 19-22.			
	C51	Chrom Merge, Insert Chromatograms into Windows Applications, User Manual, LC Resources, Inc. 1995.			
	C52	Chrom Merge Advertisement, LC Resources, Inc. 1995.			
	C53	Resources for Chromatography, Spring 1997.			
	C54	Resources for Chromatography, Fall 1996.			
	C55	"Docu Vault Archive," www.cypressdelivers.com, 2000.			
	C56	"Information Systems Offers Integrated Document, Output Management," PCWEEK Online, May 28, 1998.			
	C57	Output Management: It's More Than Just Managing Printers, www.cypressdelivers.com, 1998.			
	C58	"Managing and Delivering Knowledge in Spit of Disparity", CYPRESS CORP. WHITE PAPER, www.cypressdelivers.com, 1998.			
	C59	"Cypress Web Challenges Acrobat for Enterprise Archiving, Searches, Retrieval" www.interex.org, 2000.			
	C60	"Information Systems offers integrated document, output management," www.zdnet.com, May 28, 1998.			
	C61	OS/2, 2.0 Presentation Manager Graphics Programming Guide, XP00210699-IBM Corp., pp.18-1 - 18-8, 1992.			
	C62	"General Scheme to Capture Window Objects for Reuse," IBM Technical Disclosure Bulletin, vol 38, No. 10, Oct. 1995.			
MP	C63	Petzold, "Environments-Metafile Support Under The OS 2 Graphics Programming Interface," PC Magazine, pp. 459, 460, 462-465, Sep. 25, 1990.			
EXAMINER			DATE CONSIDERED		9/14/06
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.					

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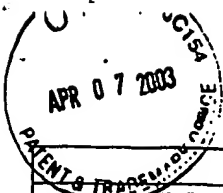
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INFORMATION DISCLOSURE CITATION			NGM-00102			09/880,393		
IN AN APPLICATION								
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Applicant			NAGRAL, et al.					
Filing Date			June 13, 2001			Group Art Unit 2171		
U.S. PATENT DOCUMENTS								
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE		
						RECEIVED		
						APR 08 2003		
						Technology Center 2100		
FOREIGN PATENT DOCUMENTS								
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation		
						YES	NO	
OTHER DOCUMENTS								
(Including author, Title, Date, Pertinent Pages, Etc.)								
MP	TAB 1	Complaint dated 7/11/01						
	TAB 2	Civil Cover Sheet dated 7/11/01						
	TAB 3	Category Form						
	TAB 4	Report on Filing or Determination of Action Re Patent/Trademark dated 7/11/01						
	TAB 5	Summons in a Civil Case						
	TAB 6	Acceptance of Service of Process dated 7/27/01						
	TAB 7	Motion for Admission Pro Hac Vice dated 8/10/01						
	TAB 8	Notice of Action by Court - Endorsed Order Entered Granting [2-1] Motion for William F. Abrams, Kristine L. Ching and Chang H. Kim to Appear Pro Hac Vice - "Motion ALLOWED by the Court" dated 8/13/01						
	TAB 9	Defendant Scientific Software, Inc.'s Answer to Complaint and Counterclaims dated 8/15/01						
	TAB 10	NuGenesis Technologies Corporation's Response to Scientific Software, Inc.'s Counterclaims dated 9/17/01						
	TAB 11	Stipulation and [Proposed] Order Re Timing of Discovery dated 9/17/01						
	TAB 12	Affidavit of Michael E. McGuinness (filed with the Court on 10/02/01) dated 9/30/01						
	TAB 13	NuGenesis Technologies Corporation's Motion for a Preliminary Injunction dated 10/2/01						
	TAB 14	Memorandum in Support of NuGenesis Technologies Corporation's Motion for a Preliminary Injunction dated 10/2/01						
MP	TAB 15	Joint Motion for Order for Expedited Discovery and Extension of Time for Scientific Software, Inc. to File Opposition to Preliminary Injunction Motion (Kristine L. Ching's signature only) dated 10/12/01						

OTHER DOCUMENTS (Continued)		(Including author, Title, Date, Pertinent Pages, Etc.)
MP & FR	TAB 16	Joint Motion for Order for Expedited Discovery and Extension of Time for Scientific Software, Inc. to File Opposition to Preliminary Injunction Motion (Kristine L. Ching and Anastasia Fernands signature) dated 10/15/01
	TAB 17	Defendant/Counterclaim Scientific Software, Inc.'s Initial Disclosures Pursuant to Federal Rule of Civil Procedure 26(a) dated 10/16/01
	TAB 18	Initial Disclosures of NuGenesis Technologies Corporation dated 10/16/01
	TAB 19	NuGenesis Technologies Corporation's First Set of Interrogatories to Scientific Software, Inc. dated 10/19/01
	TAB 20	NuGenesis Technologies Corporation's First Set of Requests for Production of Documents to Scientific Software, Inc. dated 10/19/01
	TAB 21	Defendant/Counterclaimant Scientific Software, Inc.'s First Set of Interrogatories to Plaintiff/Counterdefendant NuGenesis Technologies Corporation dated 10/19/01
	TAB 22	Defendant and Counterclaimant Scientific Software, Inc.'s First Set of Requests for production to Plaintiff and Counterdefendant NuGenesis Technologies Corporation dated 10/19/01
	TAB 23	Joint Motion for Protective Order dated 10/21/01
	TAB 24	Confidentiality Stipulation and Protective Order (Order signed on December 27, 2001) dated 10/22/01
	TAB 25	Scientific Software, Inc.'s Memorandum in Support of Order for Expedited Discovery and Extension of Time to File Opposition to Preliminary Injunction Motion dated 10/25/01
	TAB 26	NuGenesis Technologies Corporation's Response to Scientific Software, Inc.'s Memorandum in Support of Order for Expedited Discovery and Extension of Time to File Opposition to Preliminary Injunction Motion dated 10/30/01
	TAB 27	Scientific Software, Inc.'s Response to NuGenesis First Set of Document Requests dated 11/12/01
	TAB 28	Defendant/Counterclaimant Scientific Software, Inc.'s Responses to NuGenesis First Set of Interrogatories dated 11/12/01
	TAB 29	NuGenesis Technologies Corporation's Response to Defendant/Counterclaimant Scientific Software, Inc.'s First Set of Interrogatories dated 11/12/01
	TAB 30	NuGenesis Technologies Corporation's Response to Defendant and Counterclaimant Scientific Software, Inc.'s First Set of Requests for Production dated 11/12/01
	TAB 31	Defendant/Counterclaimant Scientific Software, Inc.'s Supplemental Responses to NuGenesis First Set of Interrogatories dated 11/16/01
	TAB 32	NuGenesis Technologies Corporation's Notice of Deposition - 30(b)(6) of Scientific Software, Inc. on Tuesday, 12/18/01 at 10:00 a.m. dated 11/21/01
	TAB 33	NuGenesis Technologies Corporation's Notice of Deposition - 30(b)(6) of Scientific Software, Inc. on Wednesday, 12/19/01 at 10:00 a.m. dated 11/21/01
	TAB 34	NuGenesis Technologies Corporation's Notice of Deposition - Soheil Saadat, Scientific Software, Inc. on Thursday, 12/20/01 at 10:00 a.m. dated 11/21/01
	TAB 35	Defendant/Counterclaimant Scientific Software, Inc.'s First Notice of 30(b)(6) Deposition of Plaintiff/Counterdefendant NuGenesis Technologies Corporation dated 11/21/01
	TAB 36	Scientific Software, Inc.'s Notice of Deposition of Ajit Nagral on December 14, 2001 at 9:00 a.m. dated 12/7/01
	TAB 37	Scientific Software, Inc.'s Notice of Deposition of Donald Muirhead on December 17, 2001 at 4:00 p.m. dated 12/7/01
	TAB 38	Scientific Software, Inc.'s Notice of Deposition of Michael McGuinness on Monday, January 7, 2002 at 9:00 a.m. dated 12/7/01
	TAB 39	Scientific Software, Inc.'s Notice of Deposition of Fitzhugh Bush on Tuesday, January 8, 2002 at 9:00 a.m. dated 12/7/01
	TAB 40	Scientific Software, Inc.'s Notice of Deposition of Gregory Murphy on Wednesday, January 9, 2002 at 9:00 a.m. dated 12/7/01
	TAB 41	Scientific Software, Inc.'s Motion for Admission Pro Hac Vice dated 12/18/01
	TAB 42	Notice of Action by the Court - Judge Nathaniel M. Gorton. Endorsed Order Entered Granting [14-1] Motion for Mark J. Danielson to Appear Pro Hac Vice, Fee Status: Paid Fee Amt: \$50.00, Receipt #: 403637 Added Mark J. Danielson. "Motion ALLOWED" [EOD Date 12/27/01] dated 12/27/01
	TAB 43	Notice of Action by the Court - Judge Nathaniel M. Gorton. Endorsed Order Entered Granting [6-1] Stipulation Motion re: Timing of Discovery. "DONE AND ORDERED This 27th Day of December 2001" cc/cl [EOD Date 01/02/02] dated 12/27/01
	TAB 44	Notice of Action by the Court - Judge Nathaniel M. Gorton. Endorsed Order Entered Granting [10-1] Joint Motion for Expedited Discovery, Granting [10-2] Joint Motion to Extend Time to File Opposition. "Motion ALLOWED" cc/cl [EOD Date 01/02/02] dated 1/2/02
	TAB 45	Certificate of Agreement to be Bound by Confidentiality Stipulation and Protective Order dated 1/16/02
	TAB 46	Assented to Motion for Leave to File Opposition Memorandum in Excess of Twenty Pages dated 1/18/02
	TAB 47	Request for Oral Argument dated 1/18/02
	TAB 48	Defendant and Counterclaimant Scientific Software, Inc.'s Objections to Affidavit of Michael E. McGuinness Submitted in Support of NuGenesis Technologies Corporation's Motion for Preliminary Injunction dated 1/18/02
	TAB 49	Defendant and Counterclaimant Scientific Software, Inc.'s Memorandum in Opposition to NuGenesis Technologies Corporation's Motion for Preliminary Injunction dated 1/18/02
	TAB 50	Declaration of Michael H. Elliott in Support of Scientific Software, Inc.'s Opposition to NuGenesis Technologies Corporation's Motion for Preliminary Injunction dated 1/18/02
	TAB 51	Declaration of Soheil Saadat in Support of Scientific Software, Inc.'s Opposition to NuGenesis Technologies Corporation's Motion for Preliminary Injunction dated 1/18/02
MF	TAB 53	NuGenesis Technologies Corporation's Unopposed Motion to File Under Seal dated 2/1/02

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OTHER DOCUMENTS (Continued)

(Including author, Title, Date, Pertinent Pages, Etc.)

TAB 54	NuGenesis Technologies Corporation's Unopposed Motion for Leave to File Reply dated 2/1/02
TAB 55	NuGenesis Technologies Corporation's Unopposed Motion to File Memorandum in Excess of Twenty Pages dated 2/1/02
TAB 56	NuGenesis Technologies Corporation's Reply to Scientific Software, Inc.'s Memorandum in Opposition to NuGenesis Technologies Corporation's Motion for a Preliminary Injunction dated 2/1/02
TAB 57	Plaintiff's Response to Defendant's Objections to Affidavit of Michael E. McGuinness dated 2/1/02
TAB 58	Affidavit of Edward Lawrence Bayiates dated 2/1/02
TAB 59	Affidavit of Carey Gregory dated 2/1/02
TAB 60	Affidavit of Carl Philip Emmanuel Dos Santos dated 2/1/02
TAB 61	Affidavit of Gregory P. Murphy dated 2/1/02
TAB 62	Affidavit of Ajit S. Nagral dated 2/1/02
TAB 63	Affidavit of Anastasia M. Fernands dated 2/1/02
TAB 64	Defendant and Counterclaimant Scientific Software, Inc.'s Motion for Summary Judgment dated 2/13/02
TAB 65	Memorandum of Law in Support of Defendant and Counterclaimant Scientific Software, Inc.'s Motion for Summary Judgment dated 2/13/02
TAB 66	Scientific Software, Inc.'s Unopposed Motion to File Under Seal dated 2/13/02
TAB 67	Request for Oral Argument and Consolidated Consideration dated 2/13/02
TAB 68	Statement of Undisputed Facts in Support of Defendant and Counterclaimant Scientific Software, Inc.'s Motion for Summary Judgment dated 2/13/02
TAB 69	Declaration of Soheil Saadat in Support of Scientific Software, Inc.'s Motion for Summary Judgment dated 2/13/02
TAB 70	NuGenesis Technologies Corporation's Response to Defendant's Request for Consolidated Consideration dated 2/21/02
TAB 71	Assented to Motion to Extend Time to File an Opposition to Scientific Software, Inc.'s Motion for Summary Judgment dated 2/21/02
TAB 72	Notice of Action by the Court - Judge Nathaniel M. Gorton. Endorsed Order Entered Granting [33-1] Motion to Extend Time to March 13, 2002 to Respond to Motion for Summary Judgment, Response to Motion Reset to 3/31/02 for [27-1] Motion for Summary Judgment dated 2/26/02
TAB 73	Assented to Motion for Additional Extension of Time to File an Opposition to Scientific Software, Inc.'s Motion for Summary Judgment dated 3/8/02
TAB 74	Notice of Action by the Court - Judge Nathaniel M. Gorton. Endorsed Order Entered Granting [34-1] Motion to Extend Time to March 20, 2002 to File Opposition dated 3/13/02
TAB 75	Affidavit of Gill Andrews Pratt dated 3/14/02
TAB 76	Affidavit of Ajit S. Nagral dated 3/19/02
TAB 77	NuGenesis Technologies Corporation's Cross-Motion for Summary Judgment of Infringement dated 3/20/02
TAB 78	NuGenesis Technologies Corporation's Memorandum in Opposition to Scientific Software, Inc.'s Motion for Summary Judgment and in Support of NuGenesis's Cross Motion for Partial Summary Judgment of Infringement dated 3/20/02
TAB 79	NuGenesis Technologies Corporation's Unopposed Motion to File Memorandum in Excess of Twenty Pages dated 3/20/02
TAB 80	NuGenesis Technologies Corporation's Unopposed Motion to File Under Seal dated 3/20/02
TAB 81	NuGenesis Technologies Corporation's Response to the Statement of Undisputed Facts in Support of Defendant and Counterclaimant Scientific Software, Inc.'s Motion for Summary Judgment and Statement of Additional Material Facts dated 3/20/02
TAB 82	Assented-to Motion to Extend Time to File an Opposition to NuGenesis Technologies Corporation's Cross-Motion for Summary Judgment dated 4/3/02
TAB 82A	Assented-to Motion to Extend Time to File an Opposition to NuGenesis Technologies Corporation's Cross-Motion for Summary Judgment - MOTION ALLOWED BY COURT ON 4/8/02 dated 4/10/02
TAB 83	Notice of Appearance - Denise Marie Barton dated 4/17/02
TAB 84	Assented-to Motion for Leave to File Opposition Memorandum in Excess of Twenty Pages dated 4/17/02
TAB 85	Defendant and Counterclaimant Scientific Software, Inc.'s Memorandum in Opposition to NuGenesis Technologies Corporation's Cross-Motion for Summary Judgment dated 4/17/02
TAB 86	Response to NuGenesis Technology Corporation's Statement of Additional Material Facts dated 4/17/02
TAB 87	Declaration of Chang H. Kim in Support of Scientific Software, Inc.'s Opposition to NuGenesis Technologies Corporation's Cross-Motion for Summary Judgment dated 4/17/02
TAB 88	Declaration of Soheil Saadat in Support of Scientific Software, Inc.'s Opposition to NuGenesis Technologies Corp.'s Cross-Motion for Summary Judgment dated 4/17/02
TAB 89	Assented-to Motion for Leave to Withdraw From Case dated 4/24/02
TAB 90	Notice of Action by the Court - Judge Nathaniel M. Gorton. Endorsed Order entered granting [20-1] motion to leave to File Memorandum in excess of 20 pages. "MOTION ALLOWED" dated 4/30/02
TAB 91	Notice of Action by the Court - Judge Nathaniel M. Gorton. Endorsed Order entered granting [22-1] motion for leave to file reply. "MOTION ALLOWED" dated 4/30/02

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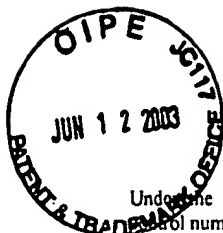
OTHER DOCUMENTS (Continued)		(Including author, Title, Date, Pertinent Pages, Etc.)
MF	TAB 92	Notice of Action by the Court - Judge Nathaniel M. Gorton. Endorsed Order entered granting [23-1] motion for leave to file Memorandum in excess of 20 pages. "MOTION ALLOWED" dated 4/30/02
	TAB 93	Notice of Action by the Court - Judge Nathaniel M. Gorton. Endorsed Order entered granting [26-1] motion to seal/impound. "MOTION ALLOWED" dated 4/30/02
	TAB 94	Notice of Action by the Court - Judge Nathaniel M. Gorton. Endorsed Order entered granting [28-1] motion to seal/impound dated 4/30/02
	TAB 95	Notice of Action by the Court - Judge Nathaniel M. Gorton. Endorsed Order entered granting [36-1] motion for leave to file Memorandum in excess of 20 pages. "MOTION ALLOWED" dated 4/30/02
	TAB 96	Notice of Action by the Court - Judge Nathaniel M. Gorton. Endorsed Order entered granting [39-1] motion to seal/impound. "MOTION ALLOWED" dated 4/30/02
	TAB 97	Notice of Action by the Court - Judge Nathaniel M. Gorton. Endorsed Order entered granting [42-1] motion for leave to file Memorandum in excess of 20 pages. "MOTION ALLOWED" dated 4/30/02
	TAB 98	Assented-to Motion for Leave to Withdraw from Case - MOTION ALLOWED by the Court dated 4/30/02
	TAB 99	NuGenesis Technologies Corporation's Motion for Leave to File Reply dated 5/6/02
	TAB 100	NuGenesis Technologies Corporation's Motion to File Under Seal dated 5/6/02
	TAB 101	Affidavit of Anastasia M. Femands dated 5/6/02
	TAB 102	NuGenesis Technologies Corporation's Request for Hearing dated 5/6/02
	TAB 103	Notice of Appearance - Donald W. Muirhead dated 5/9/02
	TAB 104	Notice of Scheduling Conference dated 6/4/02
	TAB 105	Notice of Motion hearing - Motions for Summary Judgment and Motion for Preliminary Injunction dated 6/4/02
	TAB 106	Motion for Leave to Bring Electronic Equipment into the Courthouse dated 7/18/02
	TAB 107	Notice granting 64-1 motion for leave to bring electronic equipment into the courthouse dated 7/23/02
	TAB 108	Local Rule 16.1 Joint Statement dated 7/24/02
	TAB 109	Rule 16.1(D)(3) Certification dated 7/24/02
	TAB 110	Plaintiffs Local Rule 16.1(D)(3) Certification dated 7/24/02
	TAB 111	Counter-Motion for Leave to Bring Electronic Equipment into the Courthouse dated 7/29/02
	TAB 112	NuGenesis Technologies Corporation's Opposition to Scientific Software, Inc.'s Counter-Motion for Leave to Bring Electronic Equipment into the Courthouse dated 7/30/02
	TAB 113	Memorandum and Order dated 9/9/02
	TAB 114	NuGenesis Technologies Second Set of Interrogatories to Scientific Software, Inc. dated 10/4/02
MF	TAB 115	NuGenesis Technologies Second Set of Requests for Production of Documents to Scientific Software, Inc. dated 10/4/02
EXAMINER		DATE CONSIDERED
[Signature]		9/14/06
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.		

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PTO/SB/08B (04-03)

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Complete if Known	
				Application Number	09/880,393
				Filing Date	June 13, 2001
				First Named Inventor	Ajit S. NAGRAL, et al.
				Art Unit	2171
				Examiner Name	Not Yet Assigned
Sheet	1	of	3	Attorney Docket Number	NGM-00102

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NON-PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
MF	A1	Declaration of Chang H. Kim in Support of Scientific Software, Inc.'s Opposition to Nugenesis Technologies Corporation's Motion for Preliminary Injunction	<input type="checkbox"/>
	Exhibit A	Preliminary Amendment dated January 19, 2001	<input type="checkbox"/>
	Exhibit B	American Pharmaceutical Review – The Quarterly Review of American Pharmaceutical Business & Technology (Volume 3, Issue 2, Summer 2000)	<input type="checkbox"/>
	Exhibit C	Methods and Apparatus for Storing, Retrieving and Manipulating Data Produced by Different Windows 3.1, Windows 95 and Windows NT Application Programs by Mantra Software Corp., et al.	<input type="checkbox"/>
	Exhibit D	Affidavit of Ajit S. Nagral	<input type="checkbox"/>
	Exhibit E	Nugenesis Technologies Corporation's Response to Defendant/Counterclaimant Scientific Software, Inc.'s First Set of Interrogatories dated November 12, 2001	<input type="checkbox"/>
	Exhibit F	Defendant/Counterclaimant Scientific Software, Inc.'s Supplemental Responses to Nugenesis First Set of Interrogatories dated November 16, 2001	<input type="checkbox"/>
	Exhibit G	Functional Specification for LabTALK V1.0 by FITZ BUSH	<input type="checkbox"/>
MF	Exhibit I	Copy of postcard regarding application filed on February 4, 1998 entitled Methods and Apparatus for Storing, Retrieving and Manipulating Data Produced by Different Windows 3.1, Windows 95 and Windows NT Application Programs by NAGRAL, et al.	<input type="checkbox"/>

Examiner Signature	<i>[Signature]</i>	Date Considered	9/14/06
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¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Complete if Known	
				Application Number	09/880,393
				Filing Date	June 13, 2001
				First Named Inventor	Ajit S. NAGRAL, et al.
				Art Unit	2171
				Examiner Name	Not Yet Assigned
Sheet	2	of	3	Attorney Docket Number	NGM-00102

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NON-PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
MF	Exhibit J	Letter to Mark J. Danielson, Esq. dated December 28, 2001 by Anastasia M. Fernands	<input type="checkbox"/>
	Exhibit K	Functional Specification for NuGenesis V1.1 dated June 9, 1998 by FITZ BUSH	<input type="checkbox"/>
	Exhibit L	Mantra Software Meeting Memo dated November 14, 1997 regarding new requirements for first release	<input type="checkbox"/>
	Exhibit M	San Jose Demo and Pfizer Demo dated 10/16/97	<input type="checkbox"/>
	Exhibit N	Purchase Order from Pfizer Inc. to Mantra Software Corp. dated December 16, 1997	<input type="checkbox"/>
	Exhibit O	Invoice from Mantra Software Corporation to Pfizer Inc. dated June 4, 1998	<input type="checkbox"/>
	Exhibit P	Mantra Software Employee Travel Report for week ended October 18, 1997 by Greg Murphy	<input type="checkbox"/>
	Exhibit Q	Letter to Anastasia M. Fernands, Esq. dated December 7, 2001 from Mark J. Danielson	<input type="checkbox"/>
	Exhibit R	Invoice from Mantra Software Corporation dated January 13, 1999 to Computerised Information Technology LTD	<input type="checkbox"/>
MF	Exhibit S	Request for Refund dated April 15, 1999	<input type="checkbox"/>

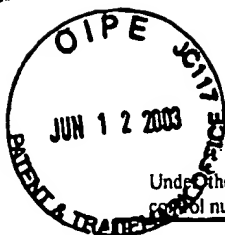
Examiner Signature		Date Considered	
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¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

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			Application Number	09/880,393	
			Filing Date	June 13, 2001	
			First Named Inventor	Ajit S. NAGRAL, et al	
			Art Unit	2171	
			Examiner Name	Not Yet Assigned	
Sheet	3	of	3	Attorney Docket Number	NGM-00102

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NON-PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
MF	Exhibit T	Issue Fee Transmittal dated April 17, 2001	<input type="checkbox"/>
	Exhibit U	Nugenesis Technologies Customer Base Expands 50%	<input type="checkbox"/>
	Exhibit V	Quotation No. 53 from Mantra Software Corporation to Pfizer/Paul Aldridge dated November 24, 1997	<input type="checkbox"/>
	Exhibit W	Copy of Attorney Advisor (Melvin T. Axilbund) regarding abandonment dated March 30, 1999	<input type="checkbox"/>
	Exhibit X	U.S. Department of Commerce Patent and Trademark Office Fee Record Sheet regarding Trademark Application Serial No. 75390271 dated December 2, 1997	<input type="checkbox"/>
	Exhibit Y	Mantra Software Corporation Bay Area Investors Meeting Agenda, Silicon Valley Capital Club, San Jose, CA dated January 15, 1998	<input type="checkbox"/>
	Tab 1	Deposition of Michael E. McGuinness dated January 7, 2002, Volume 1, pages 1-220	<input type="checkbox"/>
	Tab 2	Deposition of Donald W. Muirhead dated December 17, 2001, Volume 1, pages 1-73	<input type="checkbox"/>
	Tab 3	Deposition of Ajit Nagral dated December 14, 2001, Volume 1, pages 1-176	<input type="checkbox"/>
	Tab 4	Deposition of Gregory P. Murphy, dated January 9, 2002, Volume 1, pages 1-89; Deposition of Fitzhugh Bush, III dated January 8, 2002, Volume 1, pages 1-168	<input type="checkbox"/>
MF	A2	Nugenesis Technologies Corporation's Response to Scientific Software, Inc.'s Counterclaims	<input type="checkbox"/>

Examiner Signature		Date Considered	9/14/06
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Complete if Known	
				Application Number	09/880,393
				Filing Date	June 13, 2001
				First Named Inventor	Ajit S. Nagral
				Art Unit	2171
				Examiner Name	Marcin P. Filipczyk
Sheet	1	of	2	Attorney Docket Number	WCS-00102

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
MF	AA	US-4,943,868	07-24-1990	Yoshinaga et al.	
		US-			
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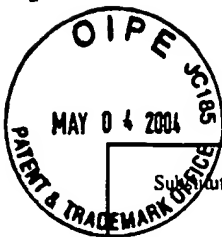
FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ² Number ³ Kind Code ⁴ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁵
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						<input type="checkbox"/>
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Examiner Signature		Date Considered	9/14/06
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Complete if Known			
		Application Number	09/880,393		
		Filing Date	June 13, 2001		
		First Named Inventor	Ajit S. Nagral		
		Art Unit	2171		
		Examiner Name	Marcin P. Filipczyk		
Sheet	2	of	2	Attorney Docket Number	WCS-00102

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NON-PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
mf	BA	TH. IRLBECK: "Computer-Lexikon", Deutscher Taschenbuch Verlag, 1998 pages 865 f.	<input type="checkbox"/>
	BB	Domino for iSeries 400: Integrating Spool File and Fax Data	<input type="checkbox"/>
	BC	Information Technology Association of America (ITAA) Press Release, IBM AS/400 Division Gains First ITAA*2000 Certification, October 1, 1996	<input type="checkbox"/>
	BD	Michael P. Deignan: "Monarch, Turn Printed Reports into Data Sources", Windows & .NET Magazine Network, Instant Doc#197, September 1997	<input type="checkbox"/>
	BE	DATAWATCH, Monarch General FAQ	<input type="checkbox"/>
	BF	L.M. Haas et al.: "Transforming Heterogeneous Data with Database Middleware: Beyond Integration", Bulletin of the IEEE Computer Society Technical Committee on Data Engineering, 1997, pages 1-6	<input type="checkbox"/>
MP	BG	M. Ricciuti: "Oracle bets on the Net with 8i", CNET News.com, September 14, 1998	<input type="checkbox"/>
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Examiner Signature		Date Considered	9/14/06
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		Application Number	09/880,393		
		Filing Date	June 13, 2001		
		First Named Inventor	Ajit S. Nagral		
		Art Unit	2171		
		Examiner Name	Marcin P. Filipczyk		
Sheet	2	of	2	Attorney Docket Number	WCS-00102

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NON-PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
MF	BA	TH. IRLBECK: "Computer-Lexikon", Deutscher Taschenbuch Verlag, 1998 pages 865 f.	<input type="checkbox"/>
	BB	Domino for iSeries 400: Integrating Spool File and Fax Data	<input type="checkbox"/>
	BC	Information Technology Association of America (ITAA) Press Release, IBM AS/400 Division Gains First ITAA*2000 Certification, October 1, 1996	<input type="checkbox"/>
	BD	Michael P. Deignan: "Monarch, Turn Printed Reports into Data Sources", Windows & .NET Magazine Network, Instant Doc#197, September 1997	<input type="checkbox"/>
	BE	DATAWATCH, Monarch General FAQ	<input type="checkbox"/>
	BF	L.M. Haas et al.: "Transforming Heterogeneous Data with Database Middleware: Beyond Integration", Bulletin of the IEEE Computer Society Technical Committee on Data Engineering, 1997, pages 1-6	<input type="checkbox"/>
MF	BG	M. Ricciuti: "Oracle bets on the Net with 8i", CNET News.com, September 14, 1998	<input type="checkbox"/>
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Examiner Signature	<i>Lipczyk</i>	Date Considered	11/3/04
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				<i>Complete if Known</i>		
				Application Number	09/880,393	
				Filing Date	June 13, 2001	
				First Named Inventor	Ajit S. Nagral	
				Art Unit	2171	
Examiner Name	Marcin P. Filipczyk					
Sheet	1	of	2	Attorney Docket Number	WCS-00102	

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
MP	AA	US-4,943,868	07-24-1990	Yoshinaga et al.	
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